Autonomous automata

versus monounary algebras

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Podporujeme výskumné aktivity na Slovensku/ Projekt je spolufinancovaný zo zdrojov EÚ

• second half of the last century: a development and a growth of the meaning of the concepts

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 - apply scientific exact mathematical tools

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- M.Berthé, M.Rigi: Combinatorics, automata, and number theory, 2010

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- D. Jakubíková-Studenovská, J. Pócs: Monounary algebras, 2009

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A Mealy-type automaton is a system $\mathcal{A} = (A, X, Y, \delta, \lambda)$, where A, X, Y are nonempty sets, $\delta : A \times X \to A$ and $\lambda : A \times X \to Y$ are functions defined on $A \times X$. Then A is called a set of (internal) states, X is called a set of inputs and Y a set of outputs. The function δ is said to be a transition function (or a next state function) and λ is an output function.

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- (1) **A** is in a state $a \in A$
- (2) input $x \in X$ is applied to a
- (3) A goes into a state $\delta(a, x) \in A$
- (4) by transition, **A** sends out an output $\lambda(a, x) \in Y$

• Moore-type automaton: a Mealy-type automaton with

$$\delta(\mathbf{a}, \mathbf{x}) = \delta(\mathbf{a}', \mathbf{x}') \implies \lambda(\mathbf{a}, \mathbf{x}) = \lambda(\mathbf{a}', \mathbf{x}') \forall \mathbf{a}, \mathbf{a}' \in A, \mathbf{x}, \mathbf{x}' \in X$$

$$\mu \text{ with } \lambda(\mathbf{a}, \mathbf{x}) = \mu(\delta(\mathbf{a}, \mathbf{x})) \text{ is a sign function of } \mathbf{A}$$

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automaton without outputs: a Moore-type automaton A such that Y = A and δ(a, x) = λ(a, x) for each a ∈ A, x ∈ X; denote

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 autonomous automaton: a Moore-type automaton without outputs and such that X is a one-element set

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- finite Moore-type automaton without outputs: transition-output table is reduced to a *transition table*

• F.Gécseg, I.Peák:

"The difference between the working of Mealy- and Moore-type automata can be interpreted as follows: the output of a Mealy-type automaton occurs **while** the automaton is going to the next state, and the output of a Moore-type automaton occurs after the automaton has gone into a next state and the output is the sign of this new state. On the other hand, an automaton without outputs behaves as a closed box: for an input sign it reacts by changing its internal state only. As will be seen later, from the point of view of the algebraic theory, the concept of the Moore-type automaton is merely an apparent specialization of that of the Mealy-type automaton. Furthermore, it should be mentioned that in many cases we may confine ourselves to automata without outputs."

monounary algebra (A, f): A - nonempty set, f - mapping of A into A

• connected: $\forall x, y \in A \exists n, m \in \mathbb{N} \cup \{0\}$ such that

 $f^n(x)=f^m(y)$

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- $c \in A$ is cyclic if $f^k(c) = c$ for some $k \in N$
- the set of all cyclic elements of some connected component of (A, f) is a **cycle** of (A, f)

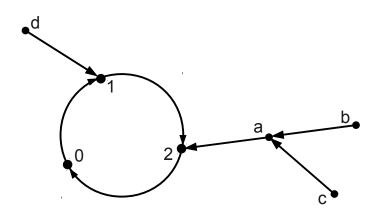


Figure:

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 - for $x \in X$ define a unary operation f_x :

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 for each $a \in A$
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A = (*A*, {*g_j* : *j* ∈ *J*}) be a unary algebra
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Automata without outputs and unary algebras

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(i') If **A** is an autonomous automaton, then $u(\mathbf{A})$ is a monounary algebra and $M(u(\mathbf{A})) \cong \mathbf{A}$;

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- (ii') if A is a monounary algebra, then M(A) is an autonomous automaton and $u(M(A)) \cong A$.

 Investigate questions, in which some assertion or construction is shown for algebras of several types, and by the proof there is applied a sooner shown assertion for monounary algebras

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- How many algebras of type *F* there exist on the set *A*, i.e., how many possibilities we have to choose values of operations on *A*, if

- Investigate questions, in which some assertion or construction is shown for algebras of several types, and by the proof there is applied a sooner shown assertion for monounary algebras
- How many algebras of type *F* there exist on the set *A*, i.e., how many possibilities we have to choose values of operations on *A*, if

- A set of cardinality m
- $F = \{f_j : j \in J\}$ a set of operation symbols
- $ar(f) \in \mathbb{N} \cup \{0\}$ arity of $f \in F$
- not all $f \in F$ are nullary

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If m is infinite and $p = \sum_{k \in \mathbb{N}} n_k$, then there exist $m^{n_0} \cdot 2^{m_p}$ of algebras over A of type F.

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Lemma

If m is infinite and $p = \sum_{k \in \mathbb{N}} n_k$, then there exist $m^{n_0} \cdot 2^{mp}$ of algebras over A of type F.

• Thus this is an upper bound for the number of **non-isomorphic** algebras of the form (*A*, *F*).

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• How many non-isomorphic monounary algebras on an *n*-element set?

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Theorem

For every infinite cardinal m there exist 2^m pairwise non-isomorphic m-element algebras with trivial automorphism groups.

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Theorem

If m is infinite, then on A there exist mⁿ.2^{mp} pairwise non-isomorphic algebras with trivial automorphism groups.

Proof.

 $\exists j_0 \in J$ with $k = ar(f_{j_0}) > 0$. By the above theorem $\exists 2^m$ pairwise non-isomorphic algebras (A, g_i) , $i \in I$ with trivial automorphism groups (g_i of arity k). Take all algebras (A, F) such that $f_{j_0} = g_i$ for some $i \in I$. Each f_j can be chosen in m ways if $ar(f_j) = 0$, and in 2^m ways if $ar(f_j) > 0$. The number of distinct obtained structures is $m^{n_0} \cdot 2^{m_p}$. They have trivial automorphism groups, they are non-isomorphic.

Monounary algebras

Monounary algebras

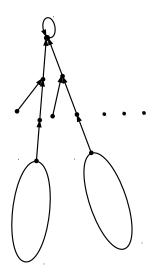


Figure:

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• O. Borůvka: How to find all matrices commuting with a given matrix?

• How to find all linear transformations of an *n*-dimensional vector space that commute with a given linear transformation?

- O. Borůvka: How to find all matrices commuting with a given matrix?
- How to find all linear transformations of an *n*-dimensional vector space that commute with a given linear transformation?
- Neglecting the linear structure of the vector space and of linear transformations: make a construction providing exactly all homomorphisms of one monounary algebra into another one.

- Classical result of M. Novotný which resolves this problem:
 - Introduced the notion of a monounary algebra which is admissible to a given connected monounary algebra. Further, if (A, f) and (B, g) are monounary algebras, conditions under which there exists a homomorphism of (A, f) to (B, g) were found and all homomorphisms (A, f) to (B, g) were constructively described.

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 - For connected monounary algebras, a homomorphism of (A, f) to (B, g) exists if and only if (B, g) is admissible to (A, f).

• The acquaintance of the mentioned construction for monounary algebras can be used for arbitrary algebraic structures. To a given algebraic structure, a monounary algebra is assigned. Then instead of looking for homomorphisms between algebraic structures we can look for homomorphisms of the corresponding algebras.

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- Illustration on groupoids:
 - given $\mathcal{G} = (\mathcal{G}, \circ)$
 - assigned monounary algebra $un(\mathcal{G}) = (G \times G, f)$, if $f((x, y)) = (y, x \circ y)$ for any $(x, y) \in G \times G$

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 - assigned monounary algebra $un(\mathcal{G}) = (G \times G, f)$, if $f((x, y)) = (y, x \circ y)$ for any $(x, y) \in G \times G$
- G, H sets, $\varphi : G \to H$, define $\varphi \times \varphi = \psi : G \times G \to H \times H$ with $\psi((x, y)) = (\varphi(x), \varphi(y)) \ \forall (x, y) \in G \times G$
- $\psi: G \times G \to H \times H$ will be called **decomposable** if there is $\varphi: G \to H$ such that $\psi = \varphi \times \varphi$

Construction for finding all homomorphisms between groupoids (G, \circ) , (H, *).

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- (4) For any decomposable homomorphism ψ of $(G \times G, f)$ into $(H \times H, g)$ construct φ such that $\psi = \varphi \times \varphi$.

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- (3) Test all the constructed homomorphisms and reject all that are not decomposable.
- (4) For any decomposable homomorphism ψ of (G × G, f) into (H × H, g) construct φ such that ψ = φ × φ.

Then φ is a homomorphism of (G, \circ) into (H, *) and any homomorphism of (G, \circ) into (H, *) can be constructed in this way.

Monounary algebras imply other algebras: Retracts

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Monounary algebras imply other algebras: Retracts

- retracts investigated in many areas of mathematics: topological spaces, later algebraic structures as groups, lattices, posets, etc.
- A substructure B of a structure A is said to be a *retract* of A if there exists an endomorphism (called a *retraction*) φ of A onto B such that φ(b) = b for each element b of B.

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- M.Novotný 2007: A construction of all retracts of a general algebra can be reduced to a construction of all retracts of a special monounary algebra.

Monounary algebras contra (some) other algebras: Abstract representation of automorphism groups

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 \mathcal{A} - algebra, system $End(\mathcal{A})$ of all endomorphisms of \mathcal{A} is a monoid and the system $Aut(\mathcal{A})$ of all automorphisms of \mathcal{A} is a group

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Problems of abstract representation:

- (G) Is every group isomorphic to the group Aut(A) for some algebraic structure A?
- (M) Is every monoid isomorphic to the monoid End(A) for some algebraic structure A?

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Theorem

Each monoid is isomorphic to End(A) for some unary algebra A.

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Proof. (M, \cdot, e) be a monoid. Denote by $\mathcal{A} = (M, F)$, $F = \{f_m : m \in M\}$, where $f_m(x) = x \cdot m$ for each $x \in M$.

Corollary

Each group is isomorphic to Aut(A) for some unary algebra A.

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P. Vopěnka, A. Pultr, Z. Hedrlín, J. Sichler: for any monoid M and any cardinal n there exists

• a graph of order at least *n* whose endomorphism monoid is isomorphic to *M*

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Theorem

(G.Fuhrken) There exists a group that is not isomorphic to the automorphism group of any monounary algebra.

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- The notion *test words* was first considered in the context of free groups: the first example Nielsen 1918: the commutator [a, b] = aba⁻¹b⁻¹ is a test word in the free group F(a, b).

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- Test elements can be applied for **distinguishing automorphisms from non-automorphisms**: *t* is a test element if $\phi(t) = \alpha(t)$ for some automorphism α implies that ϕ is an automorphism. Thus the issue of deciding whether ϕ is an automorphism is replaced by that of deciding whether $\phi(t)$ and *t* are equivalent under the action of the automorphism group of a given structure.



• If t is a test element of A, then t does not belong to any proper retract of A.

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Theorem

A word w in a free group F is a test word if and only if w is not in any proper retract of F.

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• D. A. Voce 1995: there exists a group for which Retract Theorem fails to be valid (e.g. a fundamental group of the Klein bottle).



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DJS, J. Pócs 2007:

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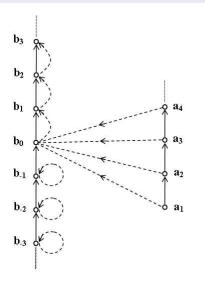
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• Retract Theorem is valid for each monounary algebra.

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 $\begin{array}{ccc} f & \longrightarrow \\ g & \dashrightarrow \end{array}$

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Figure:

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- number of convexities of lattices?
- operators on a class ${\cal K}$ of algebras
 - **H** = forming homomorphic images
 - **S** = forming subalgebras
 - **P** = forming direct products





J. Jakubík 1992:

Theorem

The system of all convexities of lattices is a proper class.





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• Convexities defined also for other types of algebraic structures, e.g., partially ordered groups as *l*-groups, *d*-groups, Riesz groups

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• A class of partial monounary algebras is said to be a *convexity* if it is *closed under homomorphic images, direct products and convex relative subalgebras*

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DJS 2009

Theorem

Let T be a class of partial monounary algebras. Then **HCP** T is the least convexity containing T (it is called a convexity generated by T).



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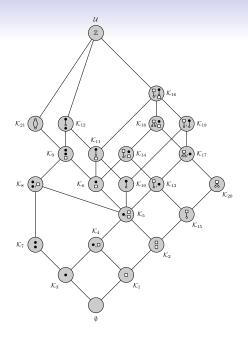


Figure:

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• **variety** = a class of those algebras which satisfy a given set of identities

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Theorem

(Birkhoff) Each variety can be characterized as a class which is closed with respect to the operators **H**, **S**, **P**.

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- operators on a class ${\mathcal K}$ of FINITE algebras

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- operators on a class ${\mathcal K}$ of FINITE algebras
 - H
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• **pseudovariety** = a class of finite algebras, which is closed with respect to the operators **H**, **S**, **P**_F

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Theorem

Each finitely generated pseudovariety is equational.

- $(\boldsymbol{A},\boldsymbol{f})$ finite connected monounary algebra with a cycle \boldsymbol{C}
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(A, f) - finite *connected* monounary algebra with a cycle C

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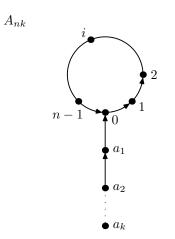
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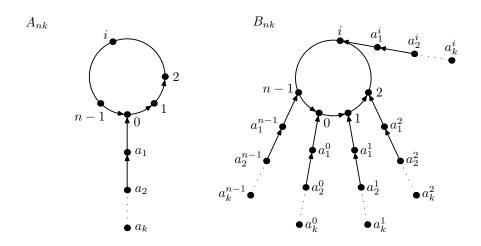
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• Make a characterization of finitely generated pseudovarieties of monounary algebras by finding algebras which generate them

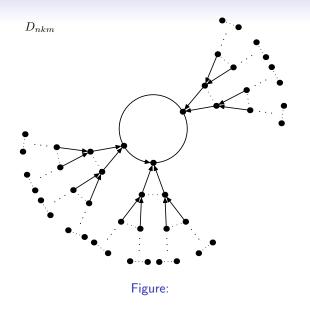
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Corollary

If $\mathcal{P} \neq \mathcal{U}_F$ is a pseudovariety of monounary algebras, then it is finitely generated if and only if it is equational.

 ${\mathcal P}$ - pseudovariety

- $\ensuremath{\mathcal{P}}$ pseudovariety
 - generated by algebras (S_m, f) , $m \in \mathbb{N}$ of types (A_{nk}, f) or (A'_{1k}, f)

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Theorem

(i) $n = r(\mathcal{P}) \neq \infty$, $k = d(\mathcal{P}) \neq \infty \implies \mathcal{P}$ is finitely generated

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(ii) $n = r(\mathcal{P}) \neq \infty$, $d(\mathcal{P}) = \infty \implies$

- n = 1, P consists of all finite connected algebras with a one-element cycle, or
- \mathcal{P} consists of all finite algebras (A, f) such that r(A, f) divides n

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Monounary algebras contra (some) other algebras: Globals

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 P(A) = (P(A), F) is a global of an algebra A = (A, F) if f ∈ F is n-ary, B₁,..., B_n are subsets of A, then

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 A class C of algebraic structures of the same type is said to be globally determined if for each A₁, A₂ ∈ C

$$P(\mathcal{A}_1) \cong P(\mathcal{A}_2) \implies \mathcal{A}_1 \cong \mathcal{A}_2.$$

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J. Herchl, DJS 2007:

Proposition

There exist 7-element algebras $\mathcal{A}_1, \mathcal{A}_2$ with two unary operations such that

(i) each operation makes a cycle of the corresponding algebra,
(ii) A₁ ≇ A₂,
(iii) P(A₁) ≅ P(A₂).

Corollary

The class of all finite biunary algebras is not globally determined.

Theorem

The class of all finite unary algebras fails to be globally determined.

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